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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	10/587	7,094	
		Filing Date	July 20, 2006		
		First Named Inventor	Eric Q	. Li	
			Art Unit	2121	
			Examiner Name		
Total Number of Pa	ges in This Submission	n	Attorney Docket Number	42P21	656
ENCLOSURES (check all that apply)					
Fee Transmittal	Form	Drawing(s)			fter Allowance Communication TC
Fee Attac	hed	Licensing-related Papers			oppeal Communication to Board f Appeals and Interferences
Amendment / Re	Amendment / Reply Petition				ppeal Communication to TC oppeal Notice, Brief, Reply Brief)
After Final Affidavits/declaration(s)		Petition to Convert a Provisional Application		☐ P	Proprietary Information
Extension of Time Request Express Abandonment Request		Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer		s	Status Letter
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Response to Missing Parts/ Incomplete Application Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53		Remarks			
	SIGNATUR	E OF APPLICA	NT, ATTORNEY, OR A	GENT	
Firm or	Paul A. Mendonsa, Reg. No. 42,879				
	Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP			.P	
Signature	Signature Mullim				
Date January 25, 2007					
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Based on PTO/SB/21 (09-04) as modified by Blakely, Solokoff/ Taylor & Zafman (ndr.) 10/12/2006. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Complete if Known **FEE TRANSMITTAL** Application Number 10/587,094 for FY 2006 Filing Date July 20, 2006 Patent fees are subject to annual revision. First Named Inventor Eric Q. Li Examiner Name Applicant claims small entity status. See 37 CFR 1.27. Art Unit 2121 TOTAL AMOUNT OF PAYMENT Attorney Docket No. 42P21656 METHOD OF PAYMENT (check all that apply) ☐ Check ☐ Credit card ☐ Money Order ☒ None Other (please identify): Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) ☐ Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee ☐ Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. **FEE CALCULATION** Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) 1051 2051 130 65 Surcharge - late filing fee or oath 1052 50 2052 ²⁵ Surcharge - late provisional filing fee or cover sheet. 2053 130 2053 130 Non-English specification 1251 120 2251 60 Extension for reply within first month 1252 2252 450 ²²⁵ Extension for reply within second month 1253 1,020 2253 510 Extension for reply within third month 1254 1,590 2254 ⁷⁹⁵ Extension for reply within fourth month 1255 2,160 2255 1,080 Extension for reply within fifth month 1401 500 2401 ²⁵⁰ Notice of Appeal 1402 500 2402 ²⁵⁰ Filing a brief in support of an appeal 1403 1.000 2403 500 Request for oral hearing 1451 1,510 2451 1,510 Petition to institute a public use proceeding 1460 130 2460 130 Petitions to the Commissioner 1807 50 1807 ⁵⁰ Processing fee under 37 CFR 1.17(q) 1806 180 1806 180 Submission of Information Disclosure Stmt 1809 790 1809 395 Filing a submission after final rejection (37 CFR § 1.129(a)) 1810 790 2810 395 For each additional invention to be examined (37 CFR § 1.129(b)) Other fee (specify) SUBTOTAL (2) SUBMITTED BY Complete (if applicable) Registration No. (503) 439-8778 Name (Print/Type) Paul A. Mendonsa Telephone 42,879 (Attorney/Agent) 01/25/07 Signature Date

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证明

CERTIFICATE

本证明之附件是向中国专利局作为受理局提交的下列国际申请副本 IS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY OF THE BELOW IDENTIFIED INTERNATIONAL APPLICATION THAT WAS FILED WITH THE CHINESE PATENT OFFICE AS RECEIVING OFFICE

示申请号:

PCT/CN2005/001242

NATIONAL APPLICATION NUMBER

申请日:

11.8月 2005 (11.08.2005)

NATILNAL FILING DATE

名 称:

A RECURSIVE FEATURE ELIMINATING METHOD

F INVENTION

BASED ON A SUPPORT VECTOR

CERTIFIED COPY OF PRIORITY DOCUMENT

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REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

International Application No. 100 1242

11 · 8月 2005 (1 1 · 0 8 · 2 0 0 5)

International Filing Date

中华人民共和国国家知识产权局 PCT International Application

·	(if desired) (12 characte	ers maximum) FPEL05150036	
BOX NO. I TITLE OF INVENTION A RECURSIVE FEATURE ELIMINATING METH MACHINE	HOD BASED ON	A SUPPORT VECTOR	
Box No. II APPLICANT This person	n is also inventor		
Name and address: (Family name followed by given name; for a legal enti The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of residen	he address indicated in this	Telephone No.	
INTEL CORPORATION 2200 Mission College Blvd.		Facsimile No.	
Santa Clara, California 95052 United States of America		Teleprinter No.	
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Sheet No. ... 2.

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the national law of an ear	ay be used to exclude (irrevocab lier national application from w ons in these and certain other Si	hich priority is claimed. So	ned in order to avoid the ee the Notes to Box No. V	ceasing of the effect, under as to the consequences of
Box No. VI PRIORIT	Y CLAIM		•	
The priority of the followi	ng earlier application(s) is hereb	oy claimed:		
Filing date	Filing date Number Where earlier application is:			is:
of earlier application (day/month/year)	of earlier application	national application: country or Member of WTO	regional application:* regional Office	international application: receiving Office
item (1)			·	
item (2)			·	
item (3)				
Further priority claim	ns are indicated in the Supplement	ental Box.		
The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of this international application is the receiving Office) identified above as:				
all items	item (1) item (2	2) item (3)	other, s	ee Supplemental Box
* Where the earlier application is an ARIPO application, indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed (Rule 4.10(b)(ii)):				
Box No. VII INTERNATIONAL SEARCHING AUTHORITY				
Choice of International Searching Authority (ISA) (if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):				
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Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):				
Date (day/month/year) Number Country (or regional Office)				
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The following declarations are contained in Boxes Nos. VIII (i) to (v) (mark the applicable Number of check-boxes below and indicate in the right column the number of each type of declaration):				
Box No. VIII (i)	Box No. VIII (i) Declaration as to the identity of the inventor :			
Box No. VIII (ii) Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent:				
Box No. VIII (iii) Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application				
Box No. VIII (iv) Declaration of inventorship (only for the purposes of the designation of the United States of America):				
Box No. VIII (v)	Box No. VIII (v) Declaration as to non-prejudicial disclosures or exceptions to lack of novelty :			y :

Sheet No. 4



Box No. IX CHECK LIST; LANGUAGE OF FILING			
This international application contains: (a) in paper form, the following number of	This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):	Number of items	
1 M fee calculation sheet			
request (including declaration sheets) : 4	2. X original separate power of attorney	: 1	
description (excluding	3. original general power of attorney	:	
sequence listing and/or	4. copy of general power of attorney; reference number,		
tables related thereto) : 1/	if any:	:	
abstract : 1	5. Statement explaining lack of signature	:	
drawings : 4	6. priority document(s) identified in Box No. VI as		
Sub-total number of sheets : 32	item(s): 7. translation of international application into	:	
sequence listing : tables related thereto :	8. Separate indications concerning deposited microorganism		
(for both, actual number of sheets if filed in paper form, whether or not also filed in	or other biological material 9. sequence listing in computer readable form	-	
computer readable form; see (c) below)	 (indicate type and number of carriers) (i) copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application) 		
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(i) ☐ sequence listing (ii) ☐ tables related thereto	copies with the sequence listing mentioned in left column	:	
(c) also in computer readable form (Section 801(a)(ii))	10. tables in computer readable form related to sequence listing (indicate type and number of carriers)		
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should accompany the abstract:	international application: =N		
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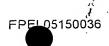
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Annex to the Request		
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A RECURSIVE FEATURE ELIMINATING METHOD BASED ON A SUPPORT VECTOR MACHINE

BACKGROUND

[0051] A recursive feature eliminating method based on a support vector machine (SVM-RFE) is widely used in data intensive applications, such as disease genes selection, structured data mining, and unstructured data mining, etc. The SVM-RFE method may comprise: SVM training an input training data to classify the training data, wherein the training data may comprise a plurality of training samples corresponding to a group of features and class labels associated with each of the training samples; eliminating at least one feature with a minimum ranking criterion from the group of features; and repeating the aforementioned SVM training and eliminating until the group becomes empty. The SVM-RFE may be used to rank the features, for example, to rank the genes that may cause a disease. Rounds of SVM training and eliminating are independent with each other.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention described herein is illustrated by way of example and not by way of limitation in the accompanying figures. For simplicity and clarity of illustration, elements illustrated in the figures are not necessarily drawn to scale.

For example, the dimensions of some elements may be exaggerated relative to other elements for clarity. Further, where considered appropriate, reference labels have been repeated among the figures to indicate corresponding or analogous elements.



Fig. 1 illustrates an embodiment of a computing system applying a SVM-[0003] RFE method.

Fig. 2 illustrates an embodiment of a SVM-RFE machine in the computing [0004] system of Fig. 1.

Fig. 3 illustrates an embodiment of a SVM-RFE method; [0005]

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[8000]

Fig. 4 illustrates an embodiment of a SVM training method involved in the [0006] SVM-RFE method of Fig. 3.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The following description describes techniques for a recursive feature [0007] eliminating method based on a support vector machine. In the following description, numerous specific details such as logic implementations, pseudocode, means to specify operands, resource partitioning/sharing/duplication implementations, types and interrelationships of system components, and logic partitioning/integration choices are set forth in order to provide a more thorough understanding of the current invention. However, the invention may be practiced without such specific details. In other instances, control structures, gate level circuits and full software instruction sequences have not been shown in detail in order not to obscure the invention. Those of ordinary skill in the art, with the included descriptions, will be able to implement appropriate functionality without undue experimentation.

References in the specification to "one embodiment", "an embodiment", "an example embodiment", etc., indicate that the embodiment described may include a particular feature, structure, or characteristic, but every embodiment may not necessarily include the particular feature, structure, or characteristic. Moreover,



such phrases are not necessarily referring to the same embodiment. Further, when a particular feature, structure, or characteristic is described in connection with an embodiment, it is submitted that it is within the knowledge of one skilled in the art to effect such feature, structure, or characteristic in connection with other embodiments whether or not explicitly described.

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Embodiments of the invention may be implemented in hardware, firmware, [0009] software, or any combination thereof. Embodiments of the invention may also be implemented as instructions stored on a machine-readable medium, that may be read and executed by one or more processors. A machine-readable medium may include any mechanism for storing or transmitting information in a form readable 10 by a machine (e.g., a computing device). For example, a machine-readable medium may include read only memory (ROM); random access memory (RAM); magnetic disk storage media; optical storage media; flash memory devices; electrical, optical, acoustical or other forms of propagated signals (e.g., carrier 15 waves, infrared signals, digital signals, etc.) and others.

[0010] Fig. 1 shows a computing system for implementing a recursive feature eliminating method based on a support vector machine (SVM-RFE). A nonexhausive list of examples for the computing system may include distributed computing systems, supercomputers, computing clusters, mainframe computers, mini-computers, client-server systems, personal computers, workstations, servers, portable computers, laptop computers and other devices for transceiving and processing data.

[0011] In an embodiment, the computing system 1 may comprise one or more processors 10, memory 11, chipset 12, I/O device 13, BIOS firmware 14 and the 25 like. The one or more processors 10 are communicatively coupled to various



components (e.g., the memory 11) via one or more buses such as a processor bus as depicted in Fig. 1. The processors 10 may be implemented as an integrated circuit (IC) with one or more processing cores that may execute codes under a suitable architecture, for example, including Intel® Xeon™ MP architecture available from Intel Corporation of Santa Clara, California,

[0012] In an embodiment, the memory 12 may store codes to be executed by the processor 10. In an embodiment, the memory 12 may store training data 110, SVM-RFE 111 and operation system (OS) 112. A non-exhausive list of examples for the memory 102 may comprise one or a combination of the following 10 semiconductor devices, such as synchronous dynamic random access memory (SDRAM) devices, RAMBUS dynamic random access memory (RDRAM) devices, double data rate (DDR) memory devices, static random access memory (SRAM), flash memory devices, and the like.

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[0013] In an embodiment, the chipset 12 may provide one or more communicative 15 path among the processor 10, memory 11 and various components, such as the I/O device 13 and BIOS firmware 14. The chipset 12 may comprise a memory controller hub 120, an input/output controller hub 121 and a firmware hub 122.

[0014] In an embodiment, the memory controller hub 120 may provide a communication link to the processor bus that may connect with the processor 101 and to a suitable device such as the memory 11. The memory controller hub 120 may couple with the I/O controller hub 121, that may provide an interface to the I/O devices 13 or peripheral components (not shown in Fig. 1) for the computing system 1 such as a keyboard and a mouse. A non-exhaustive list of examples for the I/O devices 13 may comprise a network card, a storage device, a camera, a blue-tooth, an antenna, and the like. The I/O controller hub 121 may further



provide communication link to a graphic controller and an audio controller (not shown in Fig. 1). The graphic controller may control the display of information on a display device and the audio controller may control the display of information on an audio device.

[0056] In an embodiment, the memory controller hub 120 may communicatively couple with a firmware hub 122 via the input/output controller hub 121. The firmware hub 122 may couple with the BIOS firmware 14 that may store routines that the computing device 100 executes during system startup in order to initialize the processors 10, chipset 12, and other components of the computing device 1.

10 Moreover, the BIOS firmware 14 may comprise routines or drivers that the computing device 1 may execute to communicate with one or more components of the computing device 1.

[0016]

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In an embodiment, the training data 110 may be input from a suitable devices, such as the I/O component 13, or the BIOS firmware. Examples for the training data 110 may comprise data collected for a feature selection/ranking task, such as gene expression data from a plurality of human beings or other species, or text data from web or other sources. The data format may be structured data, such as a database or table, or unstructured data, such as matrix or vector. The SVM-RFE 111 may be implemented between the training data 110 and the operation system 112. In an embodiment, the operation system 112 may include, but not limited to, different versions of LINUX, Microsoft WindowsTM Server 2003, and real time operating systems such as VxWorksTM, etc. In an embodiment, the SVM-RFE 111 may implement operations of: SVM training the training data 110 that corresponds to a group of features; eliminating at least one feature from the group according to a predetermined ranking criterion; and repeating the SVM



training and feature eliminating until the number of features in the group reaches a predetermined value, for example, until the group becomes empty, wherein the rounds of SVM training and eliminating dependent with each other. The SVM-RFE 111 may output a feature elimination history or a feature ranking list.

Other embodiments may implement other modifications or variations to the structure of the aforementioned computing system 1. For example, the SVM-RFE 111 may be implemented as an integrated circuit with various functional logics as depicted in Fig. 2. For another example, the memory 11 may further comprise a validation software (not show in Fig. 1) to validate the SVM-RFE classification by the SVM-RFE 111. More specifically, the validation software may determine whether a person has a disease by checking his/her gene expression with a gene ranking list output by the SVM-RFE 111.

[0018] An embodiment of the SVM-RFE 111 is shown in Fig. 2. As shown, the SVM-RFE 111 may comprise a decision logic 21, a SVM learning machine 22, a ranking criterion logic 23 and an eliminating logic 24.

[0019]

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In an embodiment, the training data 110 input to the SVM-RFE 111 may comprise a plurality of training samples $[x_1, x_2, ..., x_m]$ corresponding to a group of features, wherein m represents the number of training samples. The training data may further comprise class labels associated with each of the training samples $[y_1, y_2, ..., y_m]$. In an embodiment, each of the training samples represents a vector of n dimensions, wherein each dimension corresponds with each feature, and each of the class labels has a number of values. For example, if the training data is gene data collected from a plurality of persons, each of the training samples represents a pattern of n gene expression coefficients for one person, and each of the class labels has two values (i.e., [1, -1]) to represent two-class classification of its



associated training sample, e.g., whether the person has a certain decease $(y_i = 1)$ or not $(y_i = -1)$.

[0020] In an embodiment, the decision logic 21 may determine whether the group is empty and output a feature ranking list or feature elimination history if so.

- However, if the group is not empty, the SVM learning machine 22 may train the training data by setting a normal to a hyperplane where the training data may be mapped to leave the largest possible margin on either side of the normal. The SVM learning machine 22 may comprise a linear SVM learning machine and non-linear SVM learning machine. In an embodiment for linear SVM learning machine,
- a normal may comprise a vector $(\overset{\frown}{\omega})$ representing a linear combination of the training data. For non-linear SVM learning machine, a normal may comprise a vector $(\overset{\rightarrow}{\omega})$ representing a non-linear combination of the training data. Each component of the vector represents a weight for each feature in the group of features.

[00251]

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In an embodiment, the ranking criterion logic 23 may compute a predetermined ranking criterion for each feature based upon the weight vector $\vec{\omega}$. The eliminating logic 27 may eliminate at least one feature with a certain ranking criterion from the group of features, for example, the at least one feature with a minimum or maximum ranking criterion in the group of features. Then, the decision logic 21 may determine whether the group becomes empty. If not, then in another round of SVM training and feature eliminating, the SVM learning machine 22 will retrain the training data corresponding to the group of features without the eliminated ones, the ranking criterion logic 23 and eliminating logic 24 may



compute the predetermined ranking criterion for each features in the group and eliminate at least one features with a minimum ranking criterion from the group of features. The SVM-RFE 111 may repeat the rounds of SVM training and feature eliminating as described above until the group becomes empty.

In an embodiment, the SVM learning machine 22 may comprise a kernel data logic 220, a buffer 221, a Lagrange multiplier logic 222 and a weight logic 223. In a first round of SVM training, the kernel data logic 22 may compute the kernel data based on the training data corresponding to the group of features and store the kernel data in the buffer 22 and then in each round of SVM training later, the kernel data logic 220 may retrieve a kernel data from the buffer 23, update the kernel data based on a part of the training data corresponding to the at least one feature that may be eliminated in a previous round and store the updated kernel data in the buffer in place of the old one.

In an embodiment, the Lagrange multiplier logic 222 may compute a

Lagrange multiplier α_i for each of the training samples by utilizing the kernel data output from the kernel data logic 220 and the weight logic 224 may obtain a weight ω_k for each feature in the group of features, wherein i is an integer in a range of [1, the number of training samples], and k is an integer in a range of [1, the number of features].

[00224] Fig. 3 depicts an embodiment of a SVM-RFE method that may be implemented by the SVM-RFE 111.

As depicted, the SVM-RFE 111 may input the training data 110 in block 301. In an embodiment, the training data may comprise a plurality of training samples [x₁, x₂,..., x_m], wherein m represents the number of training samples. The training data may further comprise class labels associated with each of the



training samples $[y_1, y_2, ..., y_m]$. Each of the training samples may represent a vector of n dimensions, wherein each dimension corresponds to each feature in a group of features (hereinafter, the group is labeled as group G), and each of class labels has a number of values to represent the class that its associated training sample belongs to.

[0026] In block 302, the decision logic 21 of SVM-RFE 111 may determine whether the number of features in the group G is zero (block 301). If the number of features in the group G is greater than zero, then the SVM learning machine 22 of SVM-RFE 111 may train the training data corresponding to the features in the

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group G, so as to obtain a vector ($^{\omega}$) for the training data (block 303). Each component of the weight vector represents a weight (e.g., weight (ω_k)) for a feature (e.g., the k^{th} feature) in the group G.

Then, the ranking criterion logic 23 may compute a ranking criterion for each feature in the group G based on its weight in block 304. In an embodiment,
 the ranking criterion is a square of the weight, e.g., c_k = (ω_k)², wherein c_k represents the ranking criterion for the kth feature. However, in other embodiments,
 the ranking criterion may be obtained in other ways.

In block 305, the eliminating logic 24 may eliminate at least one feature with a certain ranking criterion from the group G. In an embodiment, the at least one feature (e.g., the k^{th} feature) may correspond to the ranking criterion (e.g., $c_k = (\omega_k)^2$) that is the minimum in the group G. In another embodiment, the at least one feature may correspond to the ranking criterion that is the maximum in the group G. In other embodiments, the at least one feature may be eliminated in other ways.



[0029] In block 306, the eliminating logic 24 of the SVM-RFE 111 or other suitable logics may optionally update the training data by removing a part of the training data that corresponds to the eliminated features. In an embodiment that the input training data may comprise m training samples and m class labels associated with the training samples, and each of the training samples is a vector of n dimensions wherein each dimension corresponds to each feature of the group G, the updated training data may comprise m training samples and m class labels associated with

the training samples, and each of the training samples is a vector of (n-p) dimensions wherein (n-p) represents the number of the features in the group G

after p features may be eliminated in block 305.

[0030] In block 307, the eliminating logic 24 of the SVM-RFE 111 or other suitable logics may record the eliminating history, or record the feature ranking list based on the eliminating history. In an embodiment, the at least one features eliminated in block 305 may be listed as a least important feature in the feature ranking list.
15 In another embodiment, the at least features may be listed as a most important feature in the feature ranking list.

Then, the decision logic 21 of the SVM-RFE 111 may continue to determine whether the number of features in the group G is zero in block 302. If not, the round of SVM training and feature eliminating as described with reference to blocks 303-307 may be repeated until the group G is determined to be empty, namely, the number of features therein is zero.

[0032] If the decision logic 21 determines the number of features in the group G is zero in block 302, then the decision logic 21 or other suitable logics of SVM-RFE 111 may output the eliminating history or the feature ranking list.



[0033] Fig. 4 depicts an embodiment of SVM training implemented by the SVM learning machine 22 in block 303 of Fig. 3. In the embodiment, blocks depicted in Fig. 4 may be implemented in each round of SVM training and feature elimination.

As depicted, the kernel data logic 220 of the SVM learning machine or

other suitable logics may determine whether it is the first round of SVM training for
the training data 110 (block 401). This determination may be accomplished by
setting a count number. If it is the first round of SVM training, then the kernel data
logic 220 may compute a kernel data based on the training data 110 in block 402.

In an embodiment for linear SVM training, the kernel data may be computed by
the following equations (1) and (2):

$$K^{round1} = \begin{bmatrix} k_{1,1}^{round1} & \dots & k_{1,m}^{round1} \\ \dots & \dots & k_{i,j}^{round1} & \dots \\ k_{m,1}^{round1} & \dots & k_{m,m}^{round1} \end{bmatrix}$$
(1)

$$k_{ij}^{round1} = x_i^T x_j = \sum_{k=1}^n x_{ik} x_{jk}$$
 (2)

wherein, K^{round1} is the kernel data of a matrix with $(m \cdot m)$ components k_{ij}^{round1} , m represents the number of training samples, x_i^T represents a transpose of i^{th} training sample that is a vector of n components, x_j^T represents j^{th} training sample that is another vector of n components, n represents the number of features in the group G. Other embodiments may implement other modifications and variations to block 406. For example, for non-linear SVM training, the kernel data may be obtained in a different way, e.g., the Gaussian RBF kernel:

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$$k_{i,j}^{round} = e^{-\|x_i - x_j\|^2 / 2\sigma^2}$$
 (3).



[0035] Then, the kernel data logic 220 stores the kernel data in the buffer 221 of the SVM learning machine 22 in block 403. The Lagrange multiplier logic 222 may compute a Lagrange multiplier matrix based upon the kernel data in blocks 408-412 and the weight logic 223 may compute a weight vector based on the

5 Lagrange multiplier matrix in block 414. With these implementations, the first round of SVM training for the training data 110 is completed.

[0036] However, if the kernel data logic 220 or other suitable logics determines that it is not the first round of SVM training for the training data 110 in block 401, then in block 404, the kernel data logic 220 or other suitable logics may input the 10 at least one feature eliminated in a previous round of feature elimination implemented in block 305 of Fig. 3. For example, if it is qth round of SVM training (q>1), then the kernel data logic or other suitable logics may input the at least one feature eliminated in a (q-1)th round of feature elimination (e.g., the pth feature that is eliminated from the group of n features in the (q-1)th round of feature 15 elimination). Then, the kernel data logic 220 may retrieve the kernel data stored in the buffer 221 in a previous round of SVM training (block 405), and update the kernel data based on a part of the training data corresponding to the at least one eliminated feature (block 406). In an embodiment for linear SVM training, the kernel data may be updated by the following equations (4) and (5):

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$$K^{round(q)} = \begin{bmatrix} k_{1,1}^{round(q)} & \dots & k_{1,m}^{round(q)} \\ \dots & \dots & \dots & \dots \\ k_{i,j}^{round(q)} & \dots & \dots \\ k_{m,n}^{round(q)} & \dots & \dots & \dots \end{bmatrix}$$
(4)

$$k_{ij}^{round(q)} = k_{ij}^{round(q-1)} - x_{ip} x_{jp}$$

$$(5)$$



wherein, $k_{ij}^{round(q)}$ represents a component of the kernel data K in qth round of SVM training, $k_{ij}^{round(q-1)}$ represents a component of the kernel data K in a (q-1)th round of SVM training, x_{ij} represents the ith training sample with pth feature that is eliminated in (q-1)th round of feature elimination, x_{ij} represents the jth training sample with pth feature that is eliminated in (q-1)th round of feature elimination.

Other embodiments may implement other modifications and variations to block 406. For example, for non-linear SVM training, the kernel data may be updated in a different way, e.g., for the Gaussian RBF kernel, a component for the kernel data K in qth round may be updated by

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$$k_{ij}^{round(q)} = k_{ij}^{round(q-1)} \times e^{-(x_{ip} - x_{jp})^2/2\sigma^2}$$
 (6).

Then, in block 407, the kernel data logic 220 may replace the kernel data in the buffer 221 with the updated kernel data obtained in block 406. The Lagrange multiplier logic 222 may compute a Lagrange multiplier matrix based on the kernel data in blocks 408-412 and the weight logic 223 may compute a weight vector based on the Lagrange multiplier matrix in block 414. With these implementations, the qth round of SVM training is completed.

[0039] More specifically, in block 408, the Lagrange multiplier logic 222 may initialize a Lagrange multiplier matrix α in each round of SVM training, wherein each component of the α matrix represents a Lagrange multiplier (e.g. α_i)
 20 corresponding to a training sample x_i. In an embodiment, the initialization of the Lagrange multiplier matrix may be implemented by setting a predetermined value (e.g., zero) to each component of the Lagrange multiplier matrix.



[0040] Then, in block 409, the Lagrange multiplier logic 222 may determine whether each of the Lagrange multipliers corresponding to each of the training samples (e.g., $[\alpha_1, \alpha_2, ..., \alpha_m]$) fulfill the Karush-Kuhn-Tucker (KKT) conditions. More specifically, whether each of the Lagrange multipliers fulfills the following 5 five conditions:

1.
$$\frac{\partial}{\partial w_{v}}L(w,b,\alpha) = w_{v} - \sum_{i=1}^{m} \alpha_{i}y_{i}x_{iv}$$
 $v = 1,....,n$

2.
$$\frac{\partial}{\partial b}L(w,b,\alpha) = -\sum_{i} \alpha_{i} y_{i} = 0$$

3.
$$y_i(x_i \cdot w - b) - 1 \ge 0$$
 $i = 1,$

$$\alpha_i \geq 0$$
 $\forall i$

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$$5. \alpha_i (y_i (x_i \cdot w - b) - 1) = 0$$

wherein, $^{w_{v}}$ represents the weight for the $^{v^{th}}$ feature, b represents a bias value, $L(w,b,\alpha)$ represents a Lagrangian with w,b and α as variables:

$$L(w,b,\alpha) = \frac{1}{2} \langle w \cdot w \rangle - \sum_{i=1}^{m} \alpha_i [y_i (\langle w \cdot x_i \rangle + b) - 1]$$
(7)

[0041] If not all of the Lagrange multipliers fulfill the KKT conditions, the Lagrange 15 multiplier logic 222 may initialize an active set for two Lagrange multipliers in block 410. In an embodiment, the initialization of the active set may be implemented by clearing a data fragment in a memory of the computing system to store the active set. In other embodiments, the active set may be initialized in other ways.

[0042] Then, in block 411, the Lagrange multiplier logic 222 may select two Lagrange multipliers (e.g., α_1 and α_2) as an active set with heuristics, wherein the 20 two Lagrange multiplier violates the KKT conditions with minimum errors (e.g.,



errors E_1 and E_2 respectively associated with the two Lagrange multipliers α_1 and α_2) under a predetermined constraint. In order to do that, the Lagrange multiplier logic 222 may obtain the errors associated with each of the Lagrange multipliers (e.g., $[\alpha_1, \alpha_2,, \alpha_m]$) by utilizing the kernel data stored in the buffer 221. In an embodiment for linear SVM training, the predetermined constraint may comprise $0 \le \alpha_i \le C$ wherein C is a predetermined value, and the error associated with each Lagrange multiplier may be obtained by the following equation and then stored in an error cache:

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$$E_{j} = \left(\sum_{i=1}^{m} \alpha_{i} y_{i} k_{ij}^{round(q)} - y_{j}\right) \qquad j = 1, \dots, m$$
(8)

wherein, E_j represents an error associated with a Lagrange multiplier α_j in qth round of SVM training, $k_{ij}^{round(q)}$ may be obtained from the kernel data stored in the buffer 221. Other embodiments may implement other modifications and variations to block 411. For example, the active set may comprise the number of Lagrange multipliers other than two.

Then, in block 412, the Lagrange multiplier logic 222 may update the Lagrange multipliers in the active set by utilizing the kernel data K stored in the buffer 221. In an embodiment that the SVM learning machine is a linear learning machine and the active set may comprise two Lagrange multipliers (e.g., α_1 and α_2), the Lagrange multipliers may be updated with the following equations:

$$\alpha_{2}^{\text{new}} = \alpha_{2} + \frac{y_{2}(E_{2} - E_{1})}{\eta}, \quad \eta = 2k_{12} - k_{11} - k_{22}, \quad E_{j} = \left(\sum_{i=1}^{m} \alpha_{i} y_{i} k_{ij}^{\text{round}(q)} - y_{j}\right) - y_{j}$$
(9)



$$\alpha_{2}^{\text{new,clipped}} = \begin{cases} H & \text{if} \quad \alpha_{2}^{\text{new}} \ge H \\ \alpha_{2}^{\text{new}} & \text{if} \quad L < \alpha_{2}^{\text{new}} < H \\ L & \text{if} \quad \alpha_{2}^{\text{new}} \le L \end{cases}$$

$$(10)$$

$$L = \max(0, \alpha_2 - \alpha_1) \qquad H = \min(C, C + \alpha_2 - \alpha_1)$$
(11)

$$\alpha_1^{new} = \alpha_1 + s(\alpha_2 - \alpha_2^{new, clipped}), s = y_1 y_2$$
(12)

- [0044] However, other embodiments may implement other modifications and variations to block 412.
- [0045] Then, in block 413, the Lagrange multiplier logic 222 may update the error cache by computing the errors associated with the updated Lagrange multipliers in the active set with the equation (8).
- [0046] Then, the Lagrange multiplier logic 222 may continue to update other

 Lagrange multipliers in the Lagrange multiplier matrix in blocks 408-413, until all of
 the Lagrange multipliers in the matrix fulfill KKT conditions.
- Then, the weight logic 223 may compute the weight vector (ω) based on the Lagrange multipliers obtained in blocks 408-413, wherein each component of the vector corresponds to each of the feature. In an embodiment for linear SVM training, weight for each feature may be obtained with the following equation:

$$w_k = \sum_{i=1}^m \alpha_i y_i x_{ik} \tag{13}$$

wherein, w_k represents a weight for k^{th} feature, m represent the number of the training samples, x_{ik} represents the training samples corresponding to the



 $k^{\prime\prime\prime}$ feature. However, other embodiments may implement other modifications and variations to block 414.

[0048] Although the present invention has been described in conjunction with certain embodiments, it shall be understood that modifications and variations may be resorted to without departing from the spirit and scope of the invention as those skilled in the art readily understand. Such modifications and variations are considered to be within the scope of the invention and the appended claims.



What is claimed is:

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1. A method, comprising

determining a value for each feature in a group of features provided by a training data;

eliminating at least one feature from the group by utilizing the value for each feature in the group;

updating the value for each feature in the group based on a part of the training data that corresponds to the eliminated feature.

- 2. The method of claim 1, wherein the training data further comprises a plurality of training samples, each of the training samples corresponding to the group of features.
 - 3. The method of claim 1, wherein determining the value comprises: computing a kernel data based on the training data; computing the value for each feature of the group based on the kernel data; and

storing the kernel data in a buffer.

- 4. The method of claim 3, wherein computing the kernel data further comprises computing a matrix as the kernel data, each component of the matrix comprising a dot product of two of training samples provided by the training data.
 - 5. The method of claims 1, wherein updating the value further comprises:



retrieving a kernel data from a buffer;

updating the kernel data based on the part of the training data that corresponds to the eliminated features; and

updating the value for each feature of the group based on the updated 5 kernel data.

6. The method of claim 5, wherein updating the kernel data further comprises:

subtracting a matrix from the kernel data, each component of the matrix

comprising a dot product of two of training samples provided by the part of the training data.

7. The method of claim 1, wherein eliminating at least one feature comprises:

computing a ranking criterion for each feature of the group based on the value for the each feature;

eliminating the at least one feature with the minimum ranking criterion from the group; and

recording the eliminated feature in a feature ranking list.

8. The method of claim 1, further comprising:

repeating of eliminating the at least one feature from the group and updating the value for each feature of the group until a number of features in the group reaches a predetermined value.

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9. An apparatus, comprising:

a training logic to determine a value for each feature in a group of features provided by a training data; and

an eliminate logic to eliminate at least one feature from the group by 5 utilizing the value for each feature in the group,

wherein the training logic further updates the value for each feature in the group based on a part of the training data that corresponds to the eliminated feature.

- 10 10. The apparatus of claim 9, wherein the training data comprises a plurality of training samples, each of the training samples having the group of features.
 - 11. The apparatus of claim 9, further comprising:
- a decision logic to decide whether to repeat the elimination of the at least 15 one features from the group and update of the value for each feature of the group until a number of features in the group reaches a predetermined value.
 - 12. The apparatus of claim 9, wherein the training logic further comprises:
 - a kernel data logic to compute a kernel data based upon the training data;
 - a buffer to store a kernel data:

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- a value logic to compute the value based on the kernel data.
- 13. The apparatus of claim 12, wherein the kernel data logic further updates the kernel data in the buffer based on the part of the training data that 25



corresponds to the eliminated features, and the value logic further updates the value based upon the updated kernel data.

14. The apparatus of claim 12, wherein the kernel data logic further subtracts a matrix from the kernel data, each component of the matrix comprising a dot product of two of training samples provided by the part of the training data.

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- 15. The apparatus of claim 9, wherein the eliminate logic further comprises a ranking criterion logic to compute a ranking criterion for each feature of the group based on the value for the each feature.
- 16. The apparatus of claim 9, wherein the eliminate logic further comprises a feature eliminate logic to eliminate the at least one feature having the minimum ranking criterion from the group.

17. A machine-readable medium comprising a plurality of instructions, that in response to being executed, result in a computing system:

determining a value for each feature in a group of features provided by a training data;

eliminating at least one feature from the group by utilizing the value for each feature in the group; and

updating the value for each feature in the group based on a part of the training data that corresponds to the eliminated feature.



- 18. The machine-readable medium of claim 17, wherein the training data further comprises a plurality of training samples, each of the training samples corresponding to the group of features.
- 19. The machine-readable of claim 17, wherein the plurality of instructions that result in the computing system determining the value, further result in the computing system:

computing a kernel data based on the training data;

computing the value for each feature of the group based on the kernel data;

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storing the kernel data in a buffer.

- 20. The machine-readable of claim 19, wherein the plurality of instructions that result in the computing system computing the kernel data, further result in the computing system computing a matrix as the kernel data, each component of the matrix comprising a dot product of two of training samples provided by the training data.
- 21. The machine-readable of claim 17, wherein the plurality of instructionsthat result in the computing system updating the value, further result in the computing system:

retrieving a kernel data from a buffer;

updating the kernel data based on the part of the training data that corresponds to the eliminated feature; and



updating the value for each feature of the group based on the updated kernel data.

The machine-readable of claim 21, wherein the plurality of instructions
 that result in the computing system updating the kernel data, further result in the computing system:

subtracting a matrix from the kernel data, each component of the matrix comprising a dot product of two of training samples provided by the part of the training data that corresponds to the eliminated feature.

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23. The machine-readable of claim 17, wherein the plurality of instructions that result in the computing system eliminating at least one feature, further result in the computing system:

computing a ranking criterion for each feature of the group based on the value for the each feature;

eliminating the at least feature with the minimum ranking criterion from the group; and

recording the eliminated feature in a feature ranking list.

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24. The machine-readable of claim 17, wherein the plurality of instructions further result in the computing system:

repeating of eliminating the at least feature from the group and updating the value for each feature of the group until a number of features in the group reaches a predetermined value.



ABSTRACT

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Method, apparatus and system are described to perform a feature eliminating method based on a support vector machine. In some embodiments, a value for each feature in a group of features provided by a training data is determined. At least one feature is eliminated from the group by utilizing the value for each feature in the group. The value for each feature in the group is updated based upon a part of the training data that corresponds to the eliminated feature.



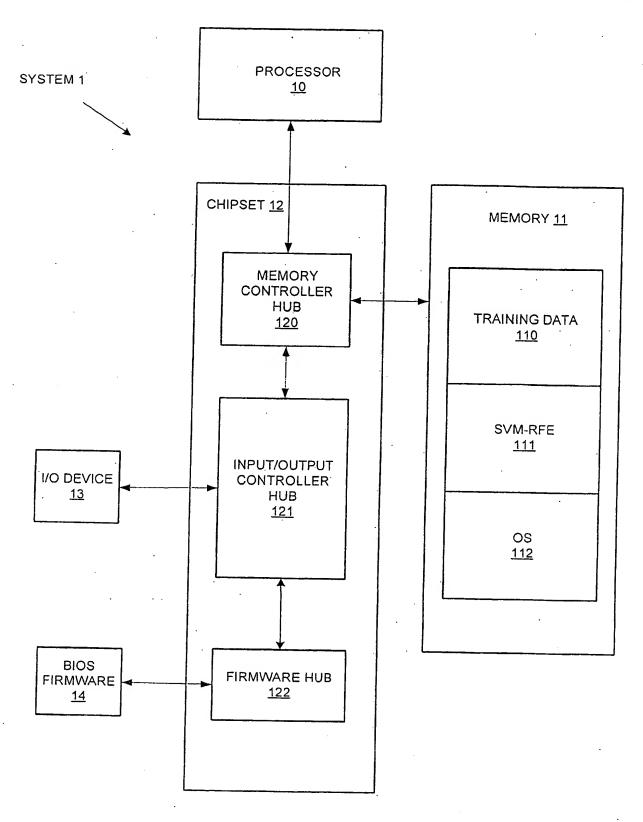
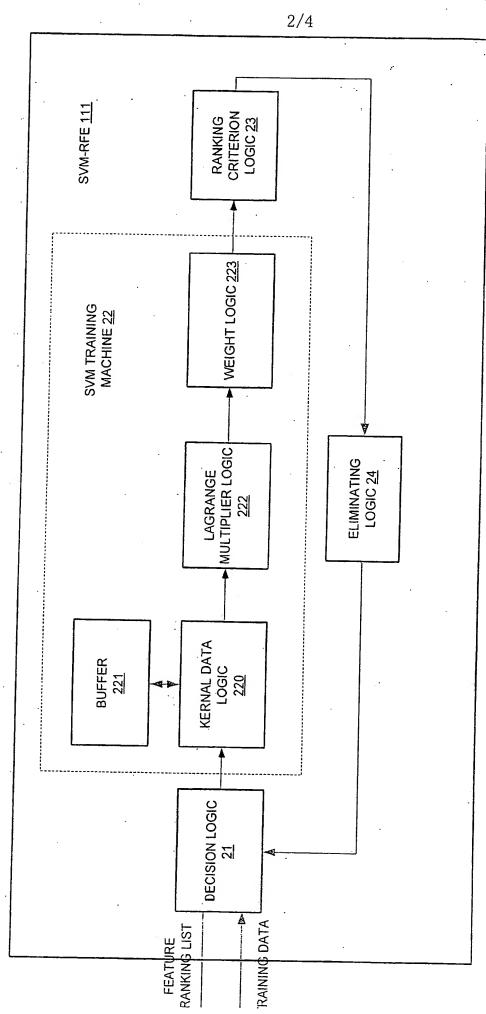


FIG. 1



F/G. 2

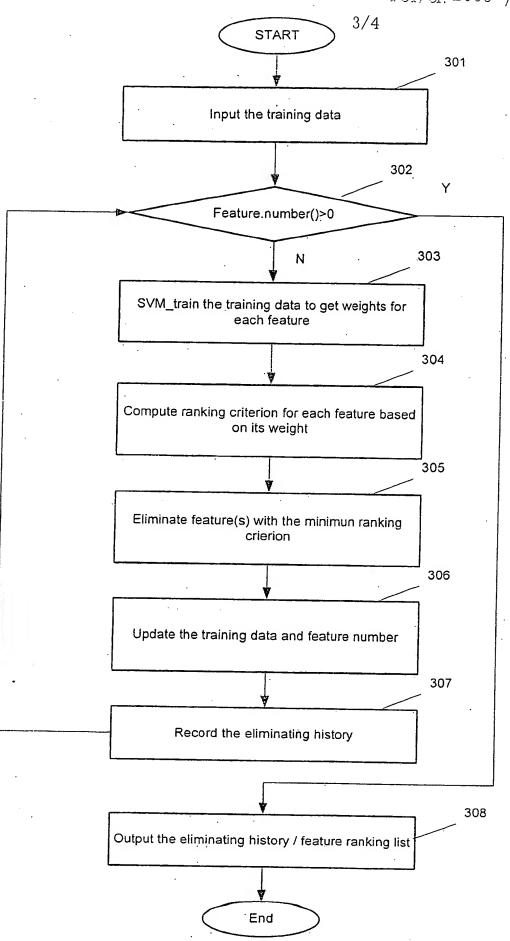


FIG. 3